

FOREX TRADING SERVICES

Success Futures and Foreign Exchange Limited, established in 2000 as a member of Success Finance Group, is a participant of Hong Kong Exchange and Clearing Limited (HKEx) and a licensed corporation (Central Entity No. AGN056) under the Securities and Futures Ordinance (Cap.571 of the Laws of Hong Kong) to carry on type 2 (dealing in futures contracts) & type 3 (leveraged foreign exchange trading) regulated activities in Hong Kong. It specialises in providing futures, options and leveraged foreign exchange trading services to customers in Hong Kong and abroad.

The Company has well-established world-wide network, and seasoned finance experts, who leverage their experience and professional knowledge to provide quality and versatile foreign exchange trading services. The Company provides the latest market news, analyses and trading strategies to help customers seize every opportunity in the dynamic forex market.

FOREX TRADE

ACCOUNT OPENING

- **By appointment**
Customers can call or email the Company's office to follow up the applications with dedicated personnel
- **In person**
Customers can visit any of the Company's branches during office hours with the following documents to complete the application procedure
 - ▶ Personal identification document (e.g. HKID card or passport)
 - ▶ Address proof within the last three months (e.g. Telephone bills, bank statements)

TRADING CHANNELS

- **Online Trading Platform**
Customers can process foreign exchange trading and enquiries through the advanced online platform, and visit Success Finance Group's website for the latest information like price quote and market news on various indices, price quote on commodities and updated market news
- **Customer Representative**
Customers can place instructions and enquiries simply by calling the account representatives or visiting the Company's branches

TRADING HOURS

Summer : Monday 07:00 to Saturday 03:00 (Hong Kong Time)
Winter : Monday 07:00 to Saturday 04:00 (Hong Kong Time)
Note : Trading hours are pursuant to different market conditions

LEVERAGE RATIO

- Up to 20 times leverage ratios is to maximise customers' potential profits.
- Minimum initial margin requirement: 5% of contract amount
 - Maintenance margin requirement: 3% of contract amount (marked to market prices)

TRANSACTION FEE

ITEM	FEES
Commission	Negotiable
Returned Cheque	HK\$100 per case
Extra Daily / Monthly Statement	HK\$50 per statement (Free of charge for the last three months)
Chats Payment	HK\$190 per case

PRODUCTS AND SERVICES

The Company provides the following Forex trading services:

CURRENCY	CONTRACT SIZE	MINIMUM PRICE MOVEMENT	QUOTATIONS
EUR	EUR 62,500	EUR 0.0001	USD per 1 EUR
GBP	GBP 62,500	GBP 0.0001	USD per 1 GBP
JPY	JPY 12,500,000	JPY 0.01	JPY per 1 USD
CHF	CHF 125,000	CHF 0.0001	CHF per 1 USD
AUD	AUD 100,000	AUD 0.0001	USD per 1 AUD
NZD	NZD 100,000	NZD 0.0001	USD per 1 NZD
CAD	CAD 100,000	CAD 0.0001	CAD per 1 USD
CNH	CNH 700,000	CNH 0.0001	CNH per 1 USD
EUR/JPY	EUR 62,500	JPY 0.01	JPY per 1 EUR
EUR/CHF	EUR 62,500	CHF 0.0001	CHF per 1 EUR
EUR/GBP	EUR 62,500	GBP 0.0001	GBP per 1 EUR
GBP/JPY	GBP 62,500	JPY 0.01	JPY per 1 GBP
CHF/JPY	CHF 125,000	JPY 0.01	JPY per 1 CHF
AUD/JPY	AUD 100,000	JPY 0.01	JPY per 1 AUD

*The Company may make adjustments to the initial margin requirement based on market conditions

PROFIT/LOSS CALCULATION (FOR REFERENCE ONLY)

A. Calculation for direct-quoted currency (like GBP, AUD, NZD, EUR)

Profit/Loss = (Selling price - Buying price) x Contract size x 7.8* x No. of contract	
Illustration : Customer expects a stronger GBP, long 1 lot of GBP and squared the position on the same day	
Selling price : 1.6100	Buying price : 1.6060
Contract size : GBP62,500	No. of contract : 1
Profit/Loss calculation : (1.6100 - 1.6060) x 62,500 x 7.8* x 1 = HK\$1,950 (Profit)	

*Exchange rate USD 1 /HKD 7.8 is for reference only

B. Calculation for indirect-quoted currency (like CHF, JPY, CAD)

Profit/Loss = ($\frac{1}{\text{Selling price}}$ - $\frac{1}{\text{Buying price}}$) x Contract size x 7.8* x No. of contract	
Illustration : Customer expects a weaker JPY, short 1 lot of JPY, squared the position on the same day	
Selling price : 115.00	Buying price : 115.80
Contract size : JPY12,500,000	No. of contract : 1
Profit/Loss calculation : ($\frac{1}{115.00}$ - $\frac{1}{115.80}$) x 12,500,000 x 7.8* x 1 = -HK\$5,857.18 (Profit)	

*Exchange rate USD 1 /HKD 7.8 is for reference only

PRECAUTIONS

1. All deals are based on the spot prices.
2. The currency rollover interest rates are updated daily. Success Futures and Foreign Exchange Limited reserves the right to adjust the currency rollover interest rate spread due to market volatility or other conditions. Customers are reminded that the currency rollover interest rate spread can increase drastically when the market fluctuates.
3. Positions not liquidated on the trading day will be treated as deferred settlement, interest receivable/payable will be calculated on a daily basis with reference to the applicable interest rate on the relevant currencies.
4. If the initial margin of carrying open position falls below maintenance margin, customers may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, customers' position may be liquidated.
5. Should the Company consider the risk reaches unacceptable level, the Company has the right to liquidate all or any positions in the accounts at its discretion without prior notice.

GROUP PROFILE

Success Finance Group ("the Group"), a financial arm of Success Universe Group, is committed to providing value to investors with the best possible products and services, reliable professional advisory, and aim at becoming investors' first choice in financial institutions.

The Group has emerged as one of the Hong Kong's leading financial services companies since its establishment in the late 1990s, offering a range of financial products and services to help investors to achieve their investment goals. Services include the trading of securities, foreign exchange, precious metals, and one-stop services including corporate finance, asset management, insurance, property loan, international education and immigration.

Success Universe Group was established in the 1990s, its core business include finance, property development and management, food and beverages. Its listed company Success Universe Group Limited operates travel and property investment business, and is involved in entertainment-related sector through its flagship investment project - Ponte 16.

Success Finance Group

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Success Finance Group Homepage



Success Finance Group WeChat

RISK DISCLOSURE

The risk of loss in leveraged foreign exchange trading can be substantial. Investors may sustain losses in excess of the initial margin funds. Placing contingent orders, such as "stop order" or "limit order", will not necessarily limit losses to the intended amounts. Market conditions may make it impossible to execute such orders. Investors may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, their position may be liquidated. Investors will remain liable for any resulting deficit in their account. Investors should therefore carefully consider whether such trading is suitable in light of their own financial position and investment objectives.

DISCLAIMER

Nothing in this leaflet is to be construed as an invitation, offer or solicitation, nor as a recommendation or investment advice, to buy or sell any financial product or service. Some investment products are not suitable for everyone. Investors should carefully consider whether such trading is suitable in light of his investment experience, objectives and financial status. Investment involves risk. The risk of loss in leveraged trading can be substantial. The price of investment products may go up or down, investors may lose all his funds under certain circumstances. Investors should read the relevant risk disclosure statement carefully before making any investment decision. The information contained here is for reference only and is subject to revision from time to time without prior notice.