

證券投資服務

實德金融集團旗下實德證券有限公司成立於1998年，是香港交易及結算有限公司之參與者，及根據證券及期貨條例（香港法例第571章）批准之持牌法團（中央編號：AEZ190），獲准於香港從事第一類（證券交易）及第四類（就證券提供意見）受規管活動，竭誠為香港及海外客戶提供優質及靈活的證券投資服務。

憑藉資深專才的專業知識和豐富經驗，本公司旨在讓客戶時刻緊貼大市，洞悉市場走向，及早達成投資目標。

本地證券

戶口類別

- 證券現金買賣戶口
客戶可以現金買賣證券
- 證券孖展買賣戶口
客戶可透過孖展貸款買賣證券

開立戶口

- 預約開戶
客戶可以電話或電郵方式聯絡本公司辦事處，並由專人跟進辦理開戶申請
- 親身辦理
客戶可於辦公時間內，攜同以下文件前往本公司分行辦理手續
 - ▶ 身份證明文件（如香港身份證或護照）
 - ▶ 最近三個月之住址證明文件（如電費賬單、銀行月結單）

交易方式

- 網上交易平台
採用先進的網上交易系統，客戶可安心自在進行網上證券買賣及查詢，並可瀏覽實德金融集團網站獲取各類指數、商品最新價格變動及市場消息
- 客戶代表
客戶可以電話或親臨分行聯絡本公司的客戶代表，以進行證券買賣指示及查詢

交易時間

本地證券買賣交易時間，以香港交易所公佈的交易時間為準
星期一至五（公眾假期除外）
開市前競價時段：09:00 - 09:30
上午持續交易時段：09:30 - 12:00
下午持續交易時段：13:00 - 16:00
收市競價交易時段：16:00 - 16:08 與16:10 之間隨機收市

股票交收

買入或賣出股票之款項及股票將於T+2交收（即交易日+2個工作日）

交易費用

	收費	註釋
佣金	面議	--
印花稅	每宗成交金額之0.1%	最低收費港幣1元（每千元做一單位）
證監會交易徵費	每宗成交金額之0.0027%	證監會徵收
港交所交易費	每宗成交金額之0.005%	港交所徵收
中央結算費	每宗成交金額之0.004%（當中0.002%代香港中央結算有限公司收取）	最低收費港幣6元；最高收費港幣300元

托管及交易服務費用

	收費	註釋
借貸息率（保證金客戶）	可低至最優惠利率+3%	--
交收存入	免費	--
交收提取	交收總值之0.002%	每項最低收費港幣20元；每項最高收費港幣200元
過戶費	每手港幣2元*	股息 / 紅股
股份合併 / 拆細	免費	--
紅股及紅股證派發手續費	每次港幣20元	--
代收股息 / 以股代息	股息金額之0.4%	最低收費港幣20元；最高收費港幣20,000元
轉手紙釐印費	每張轉手紙港幣5元	--
存入實物股票	免費	--
提取實物股票	每手港幣3.5元及手續費每項港幣30元	--

	收費	註釋
提取除牌股份	每手港幣2元*	--
處理有關供股 / 行使認股權證	每手港幣0.8元*及手續費港幣100元	最高收費港幣20,000元
自動行使衍生權證及可收回牛證	每手港幣0.8元*及手續費港幣100元	--
現金收購	每手港幣0.8元*及0.25%手續費	手續費： 最低收費港幣100元；最高收費港幣20,000元
公司私有化	手續費每手港幣0.8元*如需過戶每手港幣2元	最低收費港幣100元
新股認購手續費	每次港幣30元	--
融資新股認購手續費	每次港幣100元及借貸利息	--
退票手續費	每次港幣100元	--
補發日、月結單	每份港幣50元	最近3個月內免費
即時入賬費用	每次港幣210元	--

*每手股票包括碎股亦以一手計

新股認購及股份配售融資

客戶可委託本公司認購新上市股份或配售上市公司股份，融資利率特廉。

環球證券服務

隨著全球經濟一體化，不少投資者均已放眼環球金融市場，以應對瞬息萬變之市場趨勢。本公司為滿足投資者對多元化金融市場及產品的需求，推出環球證券買賣服務，務求為客戶提供更完善的產品選擇，把握每個財富增值的機會。

環球金融市場24小時無間斷運作，本公司提供多個時區、不同地域的環球證券買賣服務，包括中國內地、美國、英國、澳洲、日本、韓國、新加坡及台灣等。

有關環球證券買賣服務查詢可致電 2598 1027。

集團簡介

實德金融集團是實德環球集團旗下之金融集團，致力為投資者提供最優質的產品及服務，以及可堪信賴的專業顧問意見，旨在成為投資者的首選金融機構。

自90年代後期成立以來，本集團已躍升為本港領先的金融服務公司之一，提供一系列金融產品及服務，包括證券買賣及融資、貴金屬買賣，同時更提供資產管理、保險、貸款、海外升學及移民等多元化服務，致力助投資者達到投資目標。

實德環球集團創辦於90年代，經營之核心業務包括金融、地產發展及投資、物業代理以及餐飲，而旗下上市公司實德環球有限公司經營旅遊及物業投資業務，並透過其旗艦投資項目一十六浦參與娛樂相關業務。

實德金融集團

電話：香港查詢熱線 (852) 3101 2999

中國免費熱線 400 120 2999

傳真：(852) 3101 9926

電郵：enquiry@successfn.com

網址：www.successfn.com

地址：18 1 10 1003-04



實德金融集團官網



實德金融集團微信

風險聲明

證券價格可升亦可跌，在若干情況下閣下可能會損失所有資本。槓桿式買賣虧損風險可以十分重大。閣下所蒙受的虧損可能超過最初保證金款額。即使閣下定下備用交易指示，例如「止蝕」或「限价」交易指示，亦未必可以將虧損局限於閣下原先設想的數額。市場情況可能使這些交易指示無法執行。閣下可能被要求一接到通知即存入額外的保證金款額。如閣下未能在所訂的時間內提供所需的款額，閣下的未平倉合約可能會被強迫性平倉。閣下將要為其帳戶所出現的任何逆差負責。因此，鑑於個人的財務狀況及投資目標，閣下必需仔細考慮這類買賣是否適合閣下。

責任聲明

本文提供之內容僅供參考，並不構成購買或出售任何投資產品或服務的約、招攬、邀請或投資建議或推薦。若干投資產品並非適合每位投資者，投資者需因應本身之投資經驗、投資目標及財政狀況，小心衡量本身是否適合參與有關買賣。投資附帶風險，槓桿式交易的虧損風險可以十分重大。投資產品的價格可升亦可跌，在若干情況下閣下可能會損失所有資本。在作出任何投資決定前，應詳細閱讀有關之風險披露聲明。以上所載資訊僅供參考，本公司可隨時修改而不另行通知。

SECURITIES INVESTMENT SERVICES

Success Securities Limited, established in 1998 as a member of the Success Finance Group, is a participant of Hong Kong Exchanges and Clearing Limited (HKEx) and a licensed corporation (Central Entity No. AEZ190) under the Securities and Futures Ordinance (Cap.571 of the Laws of Hong Kong) to carry on type 1 (dealing in securities) and type 4 (advising on securities) regulated activities in Hong Kong. The Company specialises in providing quality financial services to customers in Hong Kong and abroad.

With the expertise of the experienced professionals, the Company aims to keep customers abreast of market trends at all times to achieve their investment goals.

LOCAL SECURITIES

ACCOUNT TYPES

- **Cash Account**
Customers can trade securities by cash
- **Margin Account**
Customers may obtain margin financing for securities trading

ACCOUNT OPENING

- **By appointment**
Customers can call or email the Company's office to follow up with the applications with dedicated personnel
- **In person**
Customers can visit any of the Company's branches during office hours with the following documents to complete the application procedure
 - ▶ Personal identification document (e.g. HKID card or passport)
 - ▶ Address proof within the last three months (e.g. Telephone bill, bank statement)

TRADING CHANNELS

- **Online Trading Platform**
Customers can process security trading and enquiries through the advanced online platform, and visit Success Finance Group's website for the latest information, such as various indices, price quote on commodities and updated market news
- **Customer Representative**
Customers can place instructions and enquiries simply by calling the account representatives or visiting the Company's branches

TRADING HOURS

Based on the Hong Kong Stock Exchange's announced trading hours, local securities trading hours are
Monday to Friday (except public holidays)
Pre-Opening Session : 09:00 – 09:30
Morning Continuous Trading Session: 09:30 – 12:00
Afternoon Continuous Trading Session: 13:00 – 16:00
Closing Auction Session: 16:00 to a random closing between 16:08 and 16:10

SETTLEMENT OF TRANSACTION

The buying or selling transactions will be settled on T+2 (trade date + 2 working days)

TRANSACTION FEE

	RATES	REMARKS
Commission	Negotiable	--
Stamp Duty	0.1% of gross value	Min. HK\$1 (HK\$1,000 per unit)
SFC Transaction Levy	0.0027% of gross value	SFC levy
HKEx Trading fee	0.005% of gross value	HKEx levy
Clearing Fees	0.004% of gross value (0.002% will be paid to Hong Kong Securities Clearing Co. Ltd.)	Min. HK\$6; Max. HK\$300

NOMINEE AND SETTLEMENT SERVICE CHARGES

	RATES	REMARKS
Loan Interest Rate (Margin Client)	As Low As Prime Rate + 3%	--
S.I. Deposit	Free of Charge	--
S.I. Delivery	0.002% on S.I. Settlement Amount	Min. HK\$20 per Transaction; Max. HK\$200 per Transaction
Scrip Fee for Registration	HK\$2 per Board Lot*	Dividend / Bonus Shares
Share Consolidation / Split	Free of Charge	--
Handling Fee for Bonus and Shares & Bonus Warrants	HK\$20 per case	--
Cash Dividend Collection	0.4% on Gross Dividend Amount	Min. HK\$20; Max. HK\$20,000
Transfer Deed Stamp Duty	HK\$5 per Transfer Deed	--
Deposit of Physical Shares	Free of Charge	--
Withdrawal of Physical Shares	HK\$3.5 per Board Lot and Handling Fee HK\$30 per Stock	--

	RATES	REMARKS
Withdrawal of Delisting Stock	HK\$2 per Board Lot*	--
Subscription of Warrant / Rights / Open Offer / Preferential Offer	HK\$0.8 per Board Lot* and Handling Fee HK\$100	Max. HK\$20,000
Auto Exercise & Mandatory Call Event (FOR RTS ONLY)	HK\$0.8 per Board Lot* and Handling Fee HK\$100	--
Cash Offer	HK\$0.8 per Board Lot* and 0.25% Handling Fee on gross value	Handling Fee: Min. HK\$100; Max. HK\$20,000
Privatisation	HK\$0.8 for Handling per Board Lot* and HK\$2 for Scrip Fee (if any)	Min. HK\$100
Handling Fee for IPO Application	HK\$30 per Application per Stock	--
IPO Financing	HK\$100 per Application per Stock and Loan Interest	--
Returned Cheque	HK\$100 per case	--
Extra Daily / Monthly Statement	HK\$50 per statement	Free of charge for the last three months
Chats Payment	HK\$210 per case	--

*Odd lot is charged as a full board lot

IPO APPLICATION AND SHARE PLACEMENT FINANCING

Customers can entrust the Company to subscribe the newly listed shares or place shares of listed companies with a discounted interest rate.

GLOBAL SECURITIES SERVICES

As the world economy continues to integrate, many investors are looking to global financial markets in response to the volatility. In order to satisfy investors' diverse financial market and product needs, the Company has launched a global securities trading platform to provide customers with a wider variety of product options.

The global financial markets run 24 hours a day with no respite. The Company has provided the customers with global securities trading services that span across time zones and regions, including China, USA, UK, Australia, Japan, Korea, Singapore and Taiwan, etc.

Please call (852) 2598 1027 for inquiries regarding transactional matters of global securities trading.

GROUP PROFILE

Success Finance Group ("the Group"), a financial arm of Success Universe Group, is committed to providing value to investors with the best possible products and services, reliable professional advisory, and aim at becoming investors' first choice in financial institutions.

The Group has emerged as one of the Hong Kong's leading financial services companies since its establishment in the late 1990s, offering a range of financial products and services to help investors to achieve their investment goals. Services include the securities margin trading and precious metals investment. The Group also provides diversified services such as asset management, insurance, loan, international education and immigration.

Success Universe Group was established in the 1990s, its core business include finance, property development and management, food and beverages. Its listed company Success Universe Group Limited operates travel and property investment business, and is involved in entertainment-related sector through its flagship investment project - Ponte 16.

Success Finance Group

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Success Finance Group Homepage



Success Finance Group WeChat

RISK DISCLOSURE

The price of securities may go up or down, investors may lose all his funds under certain circumstances. The risk of loss in leveraged trading can be substantial. Investors may sustain losses in excess of your initial margin funds. Placing contingent orders, such as "stop order" or "limit order", will not necessarily limit losses to the intended amounts. Market conditions may make it impossible to execute such orders. Investors may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, their position may be liquidated. Investors will remain liable for any resulting deficit in their account. Investors should therefore carefully consider whether such trading is suitable in light of their own financial position and investment objectives.

DISCLAIMER

Nothing in this leaflet is to be construed as an invitation, offer or solicitation, nor as a recommendation or investment advice, to buy or sell any financial product or service. Some investment products are not suitable for everyone. Investors should carefully consider whether such trading is suitable in light of his investment experience, objectives and financial status. Investment involves risk. The risk of loss in leveraged trading can be substantial. The price of investment products may go up or down, investors may lose all his funds under certain circumstances. Investors should read the relevant risk disclosure statement carefully before making any investment decision. The information contained here is for reference only and is subject to revision from time to time without prior notice.