

實德證券有限公司
SUCCESS SECURITIES LIMITED

互聯網證券交易 / 人民幣證券交易服務申請表
Internet Securities Trading / Renminbi Denominated Securities Trading
Service Application Form

客戶資料 Customer Details		
中文名稱 Name in Chinese	英文名稱 Name in English	戶口號碼 Account No.

申請互聯網證券交易/人民幣證券交易服務 Application for Internet Securities Trading/Renminbi Denominated Securities Trading Services

*請在適當的方格內打勾✓ Please tick ✓ in the appropriate box

- 本人/吾等即上述客戶，現擬於實德證券有限公司(「實德」)申請互聯網證券交易服務。如申請被接納，本人/吾等明白本人/吾等將受載於實德「證券買賣條款及條件」(2017.04.01 版本)中的「互聯網證券買賣服務條款及條件」約束。

I/We refer to the above securities trading account maintained with Success Securities Limited (“SSL”) and hereby request for Internet Securities Trading Service. Upon your acceptance, I/we understand that I/we shall be bound by the “Terms and Conditions for Internet Securities Trading Services” contained in the SSL “Terms and Conditions for Securities Trading” (version 2017.04.01).

- 本人/吾等即上述客戶，現擬於實德證券有限公司(「實德」)申請人民幣證券交易服務。如申請被接納，本人/吾等明白本人/吾等將受載於實德「證券買賣條款及條件」(2017.04.01 版本)中的「人民幣證券交易服務條款及條件」約束。

I/We refer to the above securities trading account maintained with Success Securities Limited (“SSL”) and hereby request for Renminbi Denominated Securities Trading Service. Upon your acceptance, I/we understand that I/we shall be bound by the “Terms and Conditions for RMB Denominated Securities Trading Services” contained in the SSL “Terms and Conditions for Securities Trading” (version 2017.04.01).

風險披露 Risk Disclosure

本人/吾等經已以本人/吾等選擇的語言，獲由 _____ (中央編號：_____) (持牌代表) 全部清楚解釋互聯網證券買賣服務條款及條件及/或人民幣證券交易服務條款及條件及有關風險披露聲明的內容，並已獲邀請細閱該等條款及條件及風險披露聲明、提出問題及徵求獨立的意見(如有此意願)。

I/We confirm that the contents of the Terms and Conditions for Internet Securities Trading Services and/or the Terms and Conditions for RMB Denominated Securities Trading Services and the Risk Disclosure Statements thereof have been fully explained by _____ (CE No.: _____) (Licensed Representative) in a language which I/we choose and I/we also have been invited to read the Terms and Conditions and the Risk Disclosure Statements thereof, to ask questions and take independent advice if I/we wish.

倘以上的中文本與英文本有任何不一致之處，必須以英文本為準。

In the event of any inconsistency between the Chinese and English versions, the English version shall prevail.



客戶簽署 Client Signature

授權簽署及商業印鑑 Authorized Signature(s) and Business Chop

日期 Date

持牌代表聲明 Declaration by the Licensed Representative

本人 _____，按證券及期貨條例註冊之中央編號為 _____（持牌代表），特此聲明經已於下列地址，以客戶選擇的語言，向 _____（客戶姓名）全部清楚解釋互聯網證券買賣服務條款及條件及/或人民幣證券交易服務條款及條件及有關風險披露聲明的內容，並已邀請客戶閱讀該等條款及條件及風險披露聲明、提出有關問題及徵求獨立的意見（如有此意願）。

I, _____, registered under the Securities & Futures Ordinance with CE No.: _____ (Licensed Representative), have fully explained the Terms and Conditions for Internet Securities Trading Services and/or the Terms and Conditions for RMB Denominated Securities Trading Services and the Risk Disclosure Statements thereof to _____ (Name of Client) at the following address in a language which the Client choose(s) and also have invited the Client to read the Terms and Conditions and the Risk Disclosure Statements thereof, to ask questions and take independent advice if the Client wishes.

倘以上的中文本與英文本有任何不一致之處，必須以英文本為準。

In the event of any inconsistency between the Chinese and English versions, the English version shall prevail.

香港夏慤道 18 號海富中心 1 座 10 樓 1001A 及 04B 室 Units 1001A & 04B, 10/F., Tower 1 Admiralty Centre, 18 Harcourt Road, Hong Kong.

其他地址 Other location: _____

持牌代表簽署 Signed by Licensed Representative

日期 Date

本公司專用 FOR OFFICE USE ONLY

由客戶主任填寫 Filled by A.E. :

客戶主任姓名
Name of A.E. : _____

客戶主任編號
A.E. Code : _____

批准 approved by :

批准人姓名
Approved By : _____

批准人簽署
Approved Signature : _____

日期
Date : _____