

投資者/股票交收指示表格

Investor (I.S.I) / Securities Settlement Instruction (S.I.) Form

致: 實德證券有限公司-交收部

傳真號碼

To: Success Securities Limited

Fax Number: (852) 2587 8001

香港夏慤道 18 號海富中心 1 座 10 樓 1001A 及 04B 室

日期

Units 1001A & 04B, 10/F., Tower 1, Admiralty Centre, 18 Harcourt Road, HK.

Date: \_\_\_\_\_

中央結算號碼 CCASS No. B01680

客戶名稱

戶口號碼

Account Name: \_\_\_\_\_

Account Number: \_\_\_\_\_

本人/吾等特此通知貴公司 I / We hereby instruct your Company to:

將下列股票存入本人/吾等之證券戶口  
**RECEIVE** the following securities for my/our securities account

由本人/吾等之證券戶口提取下列股票  
**DELIVER** the following securities for my/our securities account

並透過中央結算及交收系統，按以下內容執行交收指示  
 via CCASS to effect the settlement in accordance with the following details:

對手經紀名稱

Name of Counter-party: \_\_\_\_\_

對手經紀/投資者中央結算代號

交收日期

Counter-party/Investor CCASS I.D. No. \_\_\_\_\_

Settlement Date: \_\_\_\_\_

聯絡人姓名

電話

Contact Person: \_\_\_\_\_

Telephone No.: \_\_\_\_\_

股票號碼 Stock Code	股票名稱 Stock Name	股票數目 No. of Shares	交收方式 Settlement Method
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 付予 Against payment of 港幣 HK\$( )
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 付予 Against payment of 港幣 HK\$( )
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 付予 Against payment of 港幣 HK\$( )
			<input type="checkbox"/> 無須付款 Free of Payment <input type="checkbox"/> 付予 Against payment of 港幣 HK\$( )

備註

Remarks: \_\_\_\_\_

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。I / We confirm that there is no change in beneficial ownership through effecting this settlement instruction.

客戶簽署

Client's Authorized Signature

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經紀名稱 AE Name:		經紀核實簽署 Reviewed by AE:	
Client's Signature Verified By:		Received Date/Time:	
SI Fee:		Input Charges:	Total Charges:
Inputted by:		Checked by:	Approved by: